

July 13, 2004

Clerk of the Court U.S. District Court Northern District 280 S. First St. San Jose, CA 95113

Re: General Trademarks/F0382.002

ENCLOSURE(S): Complaint Re: First Franklin vs. Franklin First Financial; Check in the amount of \$150.00

	Enclosed for your information and records. Enclosed pursuant to your request.
	Please telephone me after reviewing the enclosed.
\underline{XX}	Please contact me if you have any questions.
	Please telephone for an appointment.
	Please sign and return in the enclosed envelope.
\overline{XX}	Please file original and return endorsed filed copies.
	Please record and return conformed copies.
	Please have Judge sign original, file with court, and return endorsed-filed copies.
\overline{XX}	Return envelope is enclosed.
\overline{XX}	Other: Please issue Summons

Thank you for your courtesy in this matter.

Very truly yours,

McNICHOLS RANDICK O'DEA & TOOLIATOS, LLP

Ву

Francine Griego

Legal Assistant to Patrick Guevara

JS 44 - No. CALIF .(Rev. 4/97)

CIVIL COVER SHEET

The JS-44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON PAGE TWO)

use of the Clerk of Court for	the burbose of infidating i	TIC CIVII GOOKEE SII.		DEFENDANTS			
I.(a) PLAINTIFFS First Franklin Financial Corporation				Franklin First Fir	nancial, Ltd.		
First Franklin Finalicial Corporation				2000			
(b) COUNTY OF RESIDENCE OF FIRST LISTED PLAINTIFF (EXCEPT IN U.S. PLAINTIFF CASES) Santa Clara County, California (c) ATTORNEYS (FIRM NAME. ADDRESS. AND TELEPHONE NUMBER) Patrick E. Guevara, McNichols Randick O'Dea & Tooliatos Is 5000 Hopyard Road, Suite 400, Pleasanton, CA 94588 (925) 460-3700 II. BASIS OF JURISDICTION (PLACE AN "- "IN ONE BOX ONLY) 1 U.S. Government (U.S. Government Not a Party) 1 U.S. Government (U.S. Government Not a Party) 1 U.S. Government (U.S. Government Not a Party) 1 Defendant (Indicate Citizenship of				(IN U.S. PLAINTIFF CAS NOTE: IN LAND CONDENTRACT OF LAND TRACT OF LAND ATTORNEYS (IF KNOWN) ZENSHIP OF PRINCIPATION OF PRINCI	PAL PARTIES (PLACE A AND DEF 1 Incorporated or Pr of Business Ir 1 Incorporated and I	LOCATION OF THE OUNTY, New York AN "- "IN ONE BOX FOR ONE BOX FOR DEFENDANT) PTF DEF incipal Place	
		n Item III)	Citiz	en or Subject of a 🔲 3	of Business In 3 Foreign Nation	n Another State	
				reign Country			
IV. ORIGIN	(PLA	CE AN " " IN	ONE BOX	(ONLY)			
	Removed from 3 State Court	Remanded from Appellate Court	☐ 4 Reins Reop	pened Another of		☐7 Appeal to District Judge from Magistrate Judgment	
V. NATURE OF SUIT	(PLACE AN " "IN O	NE BOX ONLY)					
CONTRACT		ORTS		FORFEITURE/PENALTY	BANKRUPTCY	OTHER STATUTES	
T110 Insurance T120 Marine T130 Miller Act T140 Negotiable Instrument T150 Recovery of Overpayment & Enforcement of Judgment T151 Medicare Act T152 Recovery of Defaulted T152 Recovery of Defaulted T153 Recovery of Defaulted T154 Medicare Act T155 Recovery of Defaulted T156 Recovery of Defaulted T157 Recovery of Defaulted T158 Recovery of Defaulted T159 Recovery of Defaulted T159 Recovery of Defaulted T150 Recovery of Defau		ce ty conal Liability	G10 Agriculture G20 Other Food & Drug G25 Drug Related Seizure of Property 21 USC 881 G30 Liquor Laws G40 RR & Truck G50 Airline Regs G60 Occupational Safety/Health G90 Other	□ 422 Appeal 28 USC 158 □ 423 Withdrawal 28 USC 157 PROPERTY RIGHTS □ 820 Copyrights □ 830 Patent □ 840 Trademark	400 State Reapportionment 410 Antitrust 430 Banks and Banking 450 Commerce/ICC Rates/etc. 460 Deportation 470 Racketeer Influenced and Corrupt Organizations 810 Selective Service 850 Securities/Commodities/ Exchange		
153 Recovery of Overpayment of Veteran's Benefits	345 Marine Product Liability 350 Motor Vehicle	371 Truth In Lendin		LABOR	SOCIAL SECURITY	875 Customer Challenge 12 USC 3410	
160 Stockholders Suits 190 Other Contract 195 Contract Product Liability	355 Motor Vehicle Product Liability 360 Other Personal Injury	50 Motor Vehicle 380 Other Personal Property Damage 385 Property Damage Product Liability 385 Property Liability		710 Fair Labor Standards Act 720 Labor/Mgmt Relations 730 Labor/Mgmt Reporting & Disclosure Act 740 Railway Labor Act 790 Other Labor Litigation	861 HIA (1395ff)	891 Agricultural Acts 892 Economic Stabilization Act 893 Environmental Matters 894 Energy Allocation Act 895 Freedom of Information	
REAL PROPERTY	CIVIL RIGHTS	PRISONER PE		791 Empl.Ret. Inc. Security Act	870 Taxes (US Plaintiff or	Act 900 Appeal of Fee Determination Under	
210 Land Condemnation 220 Foreclosure 230 Rent Lease & Ejectment 240 Torts to Land 245 Tort Product Liability 290 All Other Real Property	442 Employment 443 Housing 444 Welfare 440 Other Civil Rights	□ 510 Motion to Vacate Sentence Habeas Corpus: □ 530 General □ 535 Death Penalty □ 540 Mandamus & Other □ 550 Civil Rights □ 555 Prison Condition			Defendant 871 IRS - Third Party 26 USC 7609	Determination United Equal Access to Justice Equal Access to Justice 950 Constitutionality of State Statutes 1 890 Other Statutory Actions	
VI. CAUSE OF ACTION	(CITE THE US CIVIL S	TATUTE UNDER	WHICH Y	OU ARE FILING AND WRI	TE BRIEF STATEMENT (OF CAUSE. DO NOT CITE	
JURISDICTIONAL STATUTE	S UNLESS DIVERSITY	151100 11	15 Intri	ngement of Federally R e Designation of Origin	False and Misleading	Representation of Fact	
VII. REQUESTED IN	CHECK IF THIS IS	ACLASS ACTIO	N DEM	AND\$		demanded in complaint:	
COMPLAINT: UNDER F.R.C.P. 23						ZYES NO	
VIII. RELATED CASE(CONCERNING REQUIRE			
IX. DIVISIONAL ASSI					N FRANCISCO/OAKL	AND SAN JOSE	
IX. DIVISIONAL ASSIGNMENT (CIVIL L.R. 3-2) (PLACE A "• " IN ONE BOX ONLY) SAN FRANCISCO/OAKLAND SAN JOSE DATE SIGNATURE OF ATTORNEY OF RECORD							

UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA

First	Franklin	Financial	Corporation,	a	Delaware
corp	oration				

SUMMONS IN A CIVIL CASE

CASE NUMBER:

V.

Franklin First Financial, Ltd., a New York corporation

TO:

Franklin First Financial, Ltd., a New York corporation

YOU ARE HEREBY SUMMONED and required to serve upon PLAINTIFF'S ATTORNEY

Everitt G. Beers, SBN 92505 Kevin R. Martin, SBN 176853 Patrick E. Guevara, SBN 202727 McNichols Randick O'Dea & Tooliatos, LLP 5000 Hopyard Rd., Ste. 400 Pleasanton, CA 94588

an answer to the complaint which is herewith served upon you, within 20	days after service of this summons upon you, exclusive
of the day of service. If you fail to do so, judgement by default will be take	on against you for the relief demanded in the complaint.
of the day of service. If you fall to do so, Judgement by default will be take	
You must also file your answer with the Clerk of this Court within a reasonable	e period of time after service.

CLERK	DATE
(BY) DEPUTY CLERK	

AO 440 (Rev. 10/93) Summons in a Civil Action

RETURN OF SERVICE						
DATE						
Service of the Summons and Complaint was made by me 1	TITLE					
Name of SERVER (PRINT)						
Check one box below to indicate appropriate method of service						
Served Personally upon the Defendant. Place where served:						
Left copies thereof at the defendant's dwelling house or usua	al place of abode with a person of suitable age and					
discretion then residing therein.	n piaco di azzazi					
Name of person with whom the summons and complaint wer	re left					
Returned unexecuted:						
Other (specify):						
STATEMENT OF SE						
TRAVEL SERVICES	TOTAL					
DECLARATION O	F SERVER					
I declare under penalty of perjury under the laws of the information contained in the Return of Service and Statement of	United States of America that the foregoing Service Fees is true and correct.					
mioritation contained in the Nation of Co. 1100 and Statement						
Executed on	Signature of Server					
Date	Signature of Server					
	Address of Server					

1	Everitt G. Beers, SBN 92505	
2	Kevin R. Martin, SBN 176853 Patrick E. Guevara, SBN 202727 McNICHOLS RANDICK O'DEA & TOOLIA'	TOS LIP
3	5000 Hopyard Road, Suite 400	100, 1111
4	Pleasanton, CA 94588 Phone (925) 460-3700	
5	Fax (925) 460-0969	
6	Attorneys for Plaintiff First Franklin Financial Corporation	
7		
8	UNITED STA	TES DISTRICT COURT
9	FOR THE DISTRICT	OF NORTHERN CALIFORNIA
10	SAN	JOSE DIVISION
11		
12	First Franklin Financial Corporation, a	Case No.:
13	Delaware corporation,	COMPLAINT FOR TRADEMARK
14	Plaintiff,	INFRINGEMENT, UNFAIR COMPETITION, AND FOR INJUNCTIVE
15	vs.	RELIEF
16		
17	Franklin First Financial, Ltd., a New	
18	York corporation,	
19	Defendant.	
20	Plaintiff First Franklin Fina	ncial Corporation (hereinafter "Plaintiff"), by and
21	through its undersigned counsel, for its Co	mplaint against Defendant Franklin First Financial,
22	Ltd. (hereinafter "Defendant") states the fo	ollowing:
23	I.	THE PARTIES
24	1. Plaintiff is a corpora	ation organized under the existing laws of the State of
25	Delaware and has an office and principal j	place of business at 2150 North First Street, San Jose,
26	California 95131.	
27	2. Plaintiff has been in	the mortgage loan business continuously since 1981.
28	3. Upon information as	nd belief, Defendant, Franklin First Financial, Ltd., is
	COMPLAINT FOR TRADEMARK INFRINGEMI	ENT

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8. Assignment to the San Jose Division of the Northern District of California is proper under Northern District Local Rule 3-2 because a substantial part of the events giving rise to this action occurred in Santa Clara County.

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IV. FIRST CLAIM FOR RELIEF: INFRINGEMENT OF UNITED STATES TRADEMARK REGISTRATION NO. 1,929,915

- 9. Plaintiff hereby realleges and incorporates by reference the allegations of paragraphs 1 through 8 of this Complaint as if fully set forth herein.
- 10. Plaintiff is the owner of United States Trademark Registration No. 1,929,915, registered October 24, 1995, for FIRST FRANKLIN used in connection with mortgage banking and mortgage brokerage services in International Class 036. A copy of the US Patent and Trademark online record is attached hereto as Exhibit A. This registration is valid, subsisting, uncancelled, unrevoked and incontestable.
- 11. Continuously since on or about January 22, 1981, Plaintiff has used FIRST FRANKLIN in connection with and to identify its mortgage banking and mortgage brokerage services and to distinguish said products from similar products offered by other companies, by, and without limitation, prominently displaying said mark on advertising and promotional materials distributed throughout the United States.
- 12. Defendant has infringed Plaintiff's mark in interstate commerce by various acts, including, without limitation, the selling, offering for sale, promotion and advertising of mortgages and mortgage related services under the mark FRANKLIN FIRST, the registration of the domain name <franklinfirstfinancial.com> and the operation of a Internet Web site, prominently displaying, advertising, and promoting mortgage loans and mortgage related services under the name "FRANKLIN FIRST". A printout of Defendant's Web site advertising is attached hereto as Exhibit B.
- 13. Defendant's use of FRANKLIN FIRST as described herein is without permission or authority of the Plaintiff and said use is likely to cause confusion, to cause mistake and/or to deceive.
- 14. Defendant's use of FRANKLIN FIRST as described herein has been made notwithstanding Plaintiff's well-known and prior established rights in the trademark FIRST FRANKLIN and with both actual and constructive notice of Plaintiff's federal registration rights under 15 U.S.C. § 1072.

15. Upon information and belief, Defendant's infringing activities have caused and, unless enjoined by this Court, will continue to cause, irreparable injury and other damage to Plaintiff's business, reputation and good will in its federally registered FIRST FRANKLIN trademark. Plaintiff has no adequate remedy at law.

V. SECOND CLAIM FOR RELIEF: FALSE DESIGNATION OF ORIGIN UNDER 15 U.S.C. § 1125(A)

- 16. Plaintiff hereby realleges and incorporates by reference the allegations of paragraphs 1 through 15 of this Complaint as if fully set forth herein.
- 17. Upon information and belief, Defendant has used the designation FRANKLIN FIRST in connection with mortgage loans and mortgage related services in interstate commerce. Said use of the designation FRANKLIN FIRST is a false designation of origin, a false or misleading description and representation of fact which is likely to cause confusion and to cause mistake, and to deceive as to the affiliation, connection or association of Defendant with Plaintiff and as to the origin, sponsorship, or approval of Defendant's products and commercial activities by Plaintiff.
- 18. Continuously since on or about May 2004, Plaintiff has used the tagline FIRST FOR A REASON in connection with and to identify its mortgage banking and mortgage brokerage services and to distinguish said products from similar products offered by other companies, by, and without limitation, prominently displaying said mark on advertising and promotional materials distributed throughout the United States.
- 19. Upon information and belief, Defendant has used the tagline FIRST. FOR SO MANY REASONS in connection with mortgage loans and mortgage related services in interstate commerce after Plaintiff used in commerce the mark FIRST FOR A REASON. Said use of the tagline FIRST. FOR SO MANY REASONS is a false designation of origin, a false or misleading description and representation of fact which is likely to cause confusion and to cause mistake, and to deceive as to the affiliation, connection or association of Defendant with Plaintiff and as to the origin, sponsorship, or approval of Defendant's products and commercial activities by Plaintiff.

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28	Charles San Charles San Contractor

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20. Upon information and belief, Defendant's wrongful activities have caused, and unless enjoined by this Court will continue to cause, irreparable injury and other damage to Plaintiff's business, reputation and good will in its marks FIRST FRANKLIN and FIRST FOR A REASON. Plaintiff has no adequate remedy at law.

VI. COUNT THREE: COMMON LAW UNFAIR COMPETITION AND TRADEMARK INFRINGEMENT

- 21. Plaintiff realleges and incorporates by reference the allegations of paragraphs 1 through 20 of this Complaint as if fully set forth herein.
- 22. Defendant's activities as stated herein constitute unfair competition and an infringement of Plaintiff's common law trademark rights in the names FIRST FRANKLIN and FIRST FOR A REASON within the State of California and in violation of California law.
- 23. Upon information and belief, Defendant's wrongful and infringing activities have caused, and unless enjoined by this Court will continue to cause, irreparable injury and other damage to Plaintiff's business, reputation and good will in its marks FIRST FRANKLIN and FIRST FOR A REASON. Plaintiff has no adequate remedy at law.

VII. COUNT FOUR: UNFAIR COMPETITION UNDER CALIFORNIA BUSINESS AND PROFESSIONS CODE SECTION 17200 ET SEQ.

- 24. Plaintiff realleges and incorporates by reference the allegations of paragraphs 1 through 23 of this Complaint as if fully set forth herein.
- 25. Defendant's activities alleged herein constitute unfair and deceptive acts and practices in the conduct of its trade and business in violation of the California Business and Professions Code Section 17200 et seq.
- 26. Upon information and belief, Defendant's wrongful and deceptive activities have caused, and unless enjoined by this Court will continue to cause, irreparable injury and other damage to Plaintiff's business, reputation and good will in its marks FIRST FRANKLIN and FIRST FOR A REASON. Plaintiff has no adequate remedy at law.

WHEREFORE, Plaintiff prays:

1. That a preliminary and permanent injunction issue restraining Defendant,

deceptive trade practices and from injuring Plaintiff's business reputation.

- 2. That Defendant be required to account to Plaintiff for any and all profits received as a result of Defendant's acts or infringement, false designation of origin, unfair competition, and unfair and deceptive trade practices, together with interest, and that Plaintiff's recovery be trebled, pursuant to Section 35 of the Lanham Act (15 U.S.C. § 1117) and common law of the State of California.
- 3. That Defendant be ordered to surrender for destruction all nameplates, labels, advertisements, and other materials incorporating or reproducing the infringing Plaintiff's marks, pursuant to Section 36 of the Lanham Act (15 U.S.C. § 1118), Section 17200 *et seq.* of the California Business and Professions Code and the equitable power of this Court to enforce the common laws of the State of California.
- 4. That Defendant be compelled to pay Plaintiff's attorneys' fees, together with costs of this suit, pursuant to Section 35 of the Lanham Act (15 U.S.C. § 1117).
 - 5. For such other and further relief as may be just and equitable.
 - 6. A jury trial for all claims and causes of action triable by jury.

Respectfully Submitted,

Date: July 13, 2004

McNICHOLS RANDICK O'DEA & TOOLIATOS, LLP

Page10 of 14

By:

Patrick E. Guevara

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EXHIBIT A

UNITED STATES TRADEMARK REGISTRATION NO. 1,929,915

TESS - Document Display



UNITED STATES PATENTAND TRADENIARK OFFICE

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System

eBusiness

News & Notices

Contact Us

Trademark Electronic Search System(Tess)

TESS was last updated on Sat Jul 10 04:42:34 EDT 2004

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Typed Drawing

Word Mark

FIRST FRANKLIN

Goods and Services

IC 036. US 100 101 102. G & S: mortgage banking and mortgage brokerage services. FIRST USE: 19810122. FIRST USE IN COMMERCE: 19810122

Mark Drawing Code

(1) TYPED DRAWING

Serial Number

74597148

Filing Date

November 9, 1994

Current Filing Basis

1 A

Original Filing Basis

1 A

Published for Opposition August 1, 1995

Registration Number

1929915

Registration Date

October 24, 1995

Owner

(REGISTRANT) First Franklin Financial Corporation CORPORATION

CALIFORNIA 2150 North First Street San Jose CALIFORNIA 95131

Assignment Recorded

ASSIGNMENT RECORDED

TESS - Document Display

Attorney of Record

PATRICK E. GUEVARA

Prior Registrations

1843185

Type of Mark

SERVICE MARK

Register

PRINCIPAL

Affidavit Text

SECT 15. SECT 8 (6-YR).

Live/Dead Indicator

LIVE

Accession	***************************************							
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Latest Status Info

Thank you for your request. Here are the latest results from the TARR web server.

This page was generated by the TARR system on $2004-07-12\ 17:40:51\ ET$

Serial Number: 74597148 Assignment Information

Registration Number: 1929915 Assignment Information

Mark (words only): FIRST FRANKLIN

Standard Character claim: No

Current Status: Section 8 and 15 affidavits have been accepted and acknowledged.

Date of Status: 2001-08-10

Filing Date: 1994-11-09

Transformed into a National Application: No

Registration Date: 1995-10-24

Register: Principal

Law Office Assigned: TMEG Law Office 101

If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at <u>TrademarkAssistanceCenter@uspto.gov</u>

Current Location: 900 - Warehouse (Newington)

Date In Location: 2001-08-15

LAST APPLICANT(S)/OWNER(S) OF RECORD

1. First Franklin Financial Corporation

Address:

First Franklin Financial Corporation 2150 North First Street San Jose, CA 95131 United States

Legal Entity Type: Corporation